# STATUTORY FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT ("FAIS") DISCLOSURE

Disclosure Notice in terms of Financial Advisory and Intermediary Services Act No 37 2002 "FAIS" of Vector Financial Services (Pty) Ltd (hereinafter the as "Vector") relating to the provision of financial services.

Statutory Disclosure in terms of the Financial Advisory and Intermediary Services Act No. 37 of 2002 ('FAIS'):

Name of FSP:	Vector Financial Services (Pty) Ltd (Juristic representative)
Trading as:	Finbok
FSP License Number:	52313
Registration number:	2018 / 709676 / 07
Physical Address:	BLOUBERG, CAPE TOWN, WESTERN CAPE, 7441, SOUTH AFRICA
Postal Address:	BLOUBERG, CAPE TOWN, WESTERN CAPE, 7441, SOUTH AFRICA
Telephone:	+27211097530
Email:	support@Finbok.com
Website:	https://Finbok.com/

Vector is authorized in respect of advisory and intermediary services for the following products:

Category Description CATEGORY I	Advice	Intermediary
Securities and Instruments: Shares	Х	Х
Securities and Instruments: Derivatives instruments	х	Х

# Authorized Representative:

Paul Van Rensburg is the Key representative. Vector Financial Services (Pty)Ltd a financial services provider's Juristic Representative authorized to render intermediary services as defined in terms of the FAIS Act in respect of products licensed for and meet the prescribed fit and proper requirements.

Vector accepts legal responsibility for those activities that the representative performed with the scope of implementing fit employment contract/mandate.

# **Conflicts of Interest:**

Vector has a conflicts policy which can be viewed on the website.

# **Complaints:**

In the event that you are dissatisfied with any aspect of our service you may contact the FSP at the address shown at the beginning of this document.

Should you wish to pursue a complaint against the representative of the FSP itself, you should address your complaint in writing to Paul Van Rensburg, a registered key person of Vector again at the address shown at the beginning of this document.

If you cannot settle your complaint with us, you are entitled to refer it to the FAIS Ombud. The Ombud has been created to provide you with a redress mechanism for any inappropriate financial advice that may have been given to you.

### FAIS OMBUD CONTACT DETAILS:

Postal address:	P.O Box 41, Menlyn Park, 0063, South Africa
Telephone:	012 762 5000
Fax number:	N/A
Physical Address:	125 Dallas Avenue Menlyn Central,
Physical Address.	Waterkloof Glen, Pretoria 0010, South Africa
Email:	info@faisombud.co.za
Web Site:	https://www.faisombud.co.za/

General:

• Vector holds professional indemnity insurance underwritten by Aon South Africa (Pty) Ltd.